

October 24, 2017  
SMBC Nikko Securities Inc.

(Correction) Correction in the Earnings Announcement

SMBC Nikko Securities Inc. announced today a correction in the Financial Report released on July 25, 2017 as follows. This correction does not affect the consolidated financial statements.

Corrected Material

Consolidated Financial Results for the Three Months ended June 30, 2017 (JGAAP)

Corrected Portion

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IV. Non-consolidated Financial Statements

1. Non-consolidated Balance Sheets

Liabilities and Net assets have been replaced to indicate the figures as of June 30, 2017.