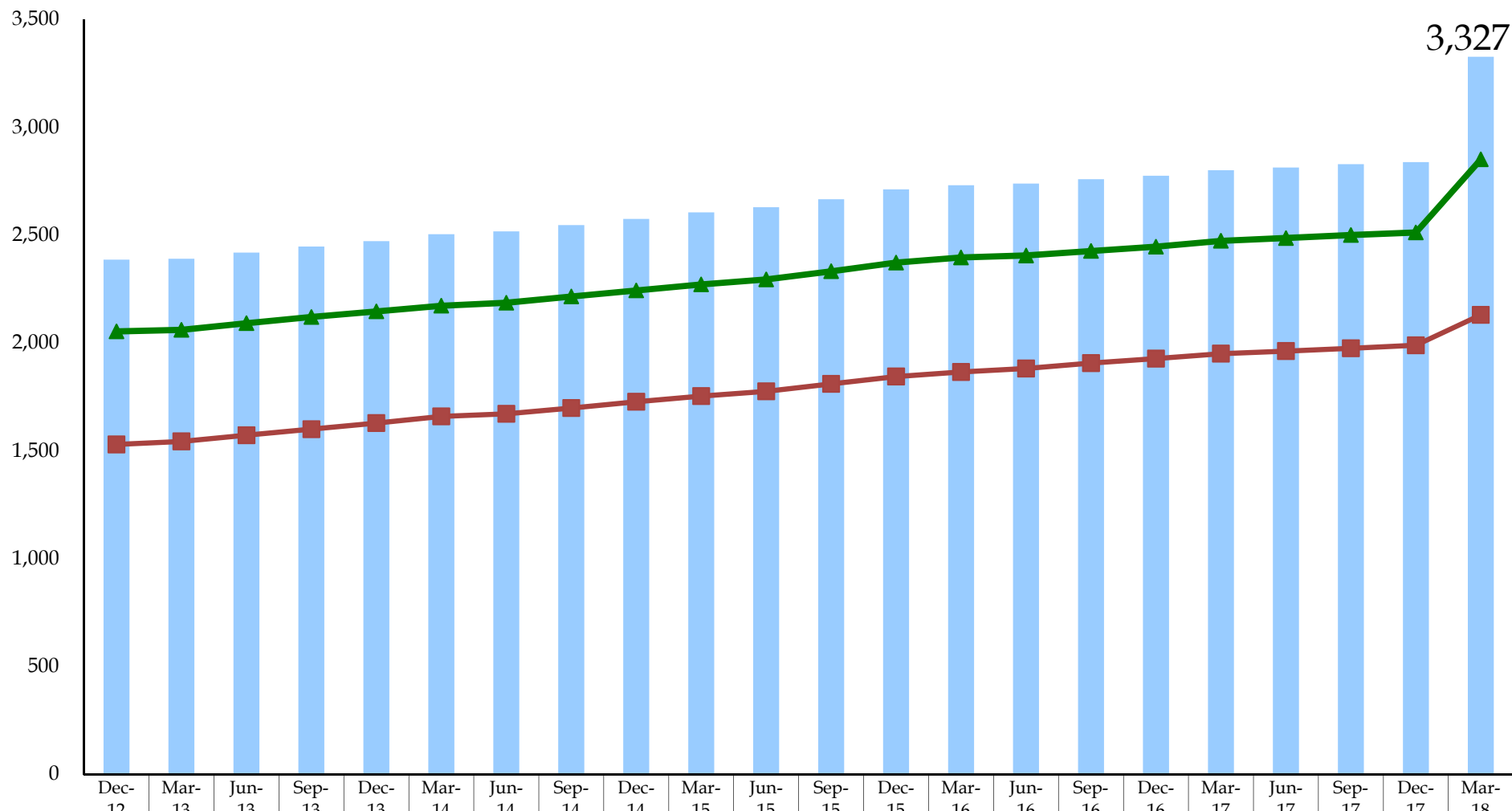


SMBC Nikko Securities - Number of Accounts -

(Thousands of accounts)



	Dec-12	Mar-13	Jun-13	Sep-13	Dec-13	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17	Jun-17	Sep-17	Dec-17	Mar-18
Total accounts	2,386	2,390	2,419	2,447	2,472	2,504	2,517	2,546	2,575	2,605	2,629	2,666	2,712	2,731	2,739	2,759	2,775	2,801	2,813	2,829	2,838	3,327
Cash management accounts	2,053	2,060	2,091	2,120	2,146	2,172	2,186	2,215	2,243	2,271	2,294	2,332	2,372	2,396	2,405	2,426	2,446	2,473	2,486	2,500	2,512	2,851
Nikko EZ trade accounts (Online Trading)	1,529	1,543	1,572	1,600	1,628	1,659	1,671	1,698	1,727	1,753	1,775	1,810	1,844	1,865	1,881	1,906	1,927	1,950	1,962	1,975	1,989	2,130