



Translation of the Japanese press release

August 27, 2009
Nikko Cordial Securities Inc.

General Managers of New Company

Nikko Cordial Securities Inc. (the “Current Nikko Cordial Securities”) will start operations as a new company (the corporate name is scheduled to be changed to Nikko Cordial Securities Inc. from Nikko Cordial Securities De-Merger Preparatory Company Ltd. as of October 1, 2009) by succeeding all the operations of Nikko Cordial Securities Inc. and part of operations of Nikko Citigroup Limited etc., subject to relevant authorities’ approvals and registrations, and will build a new structure of a full-line securities firm as a wholly-owned subsidiary of Sumitomo Mitsui Banking Corporation.

The announcement is hereby made as general managers of the new company as of the starting date of business operations were decided today, in addition to the announcement on August 24, 2009 on corporate organization and executive structure.

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General Mangers of the New Company (Effective as of October 1, 2009)

Nobuo Hoga	Deputy Head of Internal Audit
Masanobu Kiriguchi	General Manager, Internal Audit
Shinya Inose	General Manager, General Compliance Management
Satoshi Nomura	General Manager, Products Compliance
Kazuyoshi Shigenobu	General Manager, Securities Trading Supervisory Operation
Noriaki Tatekabe	General Manager, Institutional Business Administration
Toshimitsu Tanabe	General Manager, Security Management
Hiroyuki Abe	General Manager, Underwriting Reviews
Toyokazu Kunii	Deputy Head of General Management
Shojiro Hirota	General Manager, Communications
Jyoji Matsumoto	General Manager, Legal
Akira Shiose	General Manager, Funding & Cash Management
Akira Kawahara	General Manager, Securities Settlement
Koichiro Yamamoto	General Manager, Administrative Services
Koichi Obara	General Manager, Human Resources
Osamu Tanaka	General Manager, Administrative Operation
Akihiro Shigeta	General Manager, System Planning & Operation
Katsuhide Kumano	General Manager, Personnel Development
Shintaro Mima	General Manager, Risk Management
Yoichi Makabe	General Manager, Financial Control
Shinya Yamamoto	General Manager, Treasury
Makoto Nishioka	General Manager, Products Planning & Operation
Masayuki Azuma	General Manager, Asset Management Products
Ayumu Sakurai	General Manager, Asset Management Marketing

Hideyuki Takizawa	General Manager, Insurance Business
Akira Nobuta	General Manager, Investment Advisory Business
Masakatsu Nagasue	General Manager, Equity
Toshihiro Daigen	General Manager, Equity Advice Center
Naoki Masuda	General Manager, Financial Market Products
Shigeyuki Otohata	General Manager, Financial Market Products Trading
Koichi Maeda	Co-General Manager, Financial Market Products Trading
Yaoki Tsutsumi	Deputy Head of Capital Markets Origination
Takashi Sakai	General Manager, Equity Capital Markets
Naoya Seki	General Manager, Debt Capital Markets
Fumio Takamatsu	General Manager, Debt Syndicate
Kenji Kuya	General Manager, Alternative Markets
Junichi Yokoyama	General Manager, Corporate Development Service
Fumikazu Onishi	General Manager, Global Investment Strategy
Kimiharu Mizukami	General Manager, International Business
Yuichiro Kondo	General Manager, Management Planning & Administration
Kazuhiko Kido	General Manager, Institutional Business Development
Naoki Ogasawara	General Manager, Customer Relations
Koichi Tajima	General Manager, Business Research
Mayumi Nagura	General Manager, Customer Service Center
Masashi Maruyama	General Manager, Direct Marketing
Shinsuke Ushijima	General Manager, Retail Business Planning
Masato Inagaki	General Manager, Solution Business Planning
Yoshinori Hirahara	General Manager, Aomori Branch
Masazumi Fukuda	General Manager, Akabane Branch

Shigeo Irie	General Manager, Akita Branch
Yutaka Ota	General Manager, Asahikawa Branch
Akira Owano	General Manager, Ikebukuro Branch
Hirofumi Ishimaru	General Manager, Ueno Branch
Susumu Morikida	General Manager, Utsunomiya Branch
Koichi Hijikata	General Manager, Urawa Branch
Nobu Sakamoto	General Manager, Omiya Branch
Masao Tomita	General Manager, Kashiwa Branch
Kazuyoshi Fukushima	General Manager, Kawagoe Branch
Hidemi Yanagisawa	General Manager, Kitasenju Branch
Hitoshi Shinohara	General Manager, Sapporo Branch
Noriaki Iriya	General Manager, Sendai Branch
Minoru Yoshida	General Manager, Takasaki Branch
Kazuya Noguchi	General Manager, Chiba Branch
Junichi Kamiyama	General Manager, Tsudanuma Branch
Hideo Hirai	General Manager, Tokorozawa Branch
Satoru Kimura	General Manager, Fukushima Branch
Shoji Suzuki	General Manager, Matsudo Branch
Takuya Higashitaki	General Manager, Mito Branch
Matsumi Uno	General Manager, Motoyawata Branch
Shuichi Ikeuchi	General Manager, Morioka Branch
Shu Takeda	General Manager, Yamagata Branch
Youichiro Kawasaki	General Manager, Tokyo District Sales Department
Susumu Tamura	General Manager, Ichigaya Branch
Azuma Matsuzawa	General Manager, Oizumi Branch

Hitoshi Sugamoto	General Manager, Otemachi Branch
Jyoni Takioka	General Manager, Kamata Branch
Shinji Kadokawa	General Manager, Karasuyama Branch
Susumu Matsumoto	General Manager, Kichijoji Branch
Toshinobu Genozono	General Manager, Kinshi-cho Branch
Takeshi Kiura	General Manager, Koganei Branch
Dai Takahashi	General Manager, Gotanda Branch
Hideki Sakamoto	General Manager, Shibuya Branch
Masayuki Nishizaka	General Manager, Shimokitazawa Branch
Masaharu Suenaga	General Manager, Jiyugaoka Branch
Yoshio Morizane	General Manager, Shinjuku Branch
Shiro Akiyoshi	General Manager, Shimbashi Branch
Yoshiyuki Asada	General Manager, Tachikawa Branch
Hiroyasu Fujikawa	General Manager, Tamagawa Branch
Tatsuya Shiine	General Manager, Chofu Branch
Teruo Okamoto	General Manager, Nakano Branch
Hirofumi Nakamaru	General Manager, Hachioji Branch
Masatoshi Domon	General Manager, Main Branch
Takeo Miyamae	General Manager, Machida Branch
Masayoshi Furusho	General Manager, Yaesu Branch
Isao Tabata	General Manager, Aobadai Branch
Hajime Kawakami	General Manager, Atsugi Branch
Masatoshi Sugiyama	General Manager, Okazaki Branch
Mitsuhiro Tomomatsu	General Manager, Odawara Branch
Kenji Sasaki	General Manager, Kamiohoka Branch

Tatsuro Horie	General Manager, Kawasaki Branch
Hideyuki Aoki	General Manager, Gifu Branch
Eiki Tokuda	General Manager, Kofu Branch
Yoshito Morimoto	General Manager, Shizuoka Branch
Hiroki Higashi	General Manager, Shinyurigaoka Branch
Toshikazu Sawai	General Manager, Toyohashi Branch
Kenichi Hida	General Manager, Nagoya Branch
Seiji Takei	General Manager, Nagoya-ekimae Branch
Shinji Noda	General Manager, Numazu Branch
Toshiyuki Hamada	General Manager, Hamamatsu Branch
Masataka Kikuchi	General Manager, Fujisawa Branch
Shuichi Kawashima	General Manager, Yokohama-ekimae Branch
Kaoru Ota	General Manager, Yokkaichi Branch
Hitoshi Ueda	General Manager, Abeno Branch
Sotaro Todo	General Manager, Osaka Branch
Takafumi Inage	General Manager, Otsu Branch
Hiroshi Toda	General Manager, Gakuenmae Branch
Hiroaki Hinata	General Manager, Kanazawa Branch
Izumi Shimosaka	General Manager, Kyoto Branch
Enju Tsuchiya	General Manager, Sakai Branch
Masaru Sekido	General Manager, Senri-chuo Branch
Kenichi Murakami	General Manager, Takaoka Branch
Tsutomu Kawase	General Manager, Takatsuki Branch
Mikio Matsui	General Manager, Toyama Branch
Noriyoshi Seo	General Manager, Nagano Branch

Kenji Nagano	General Manager, Nara Branch
Sunao Oishi	General Manager, Namba Branch
Hirofumi Sato	General Manager, Niigata Branch
Yoshinao Higuchi	General Manager, Hirakata Branch
Hiroshi Inoue	General Manager, Fukui Branch
Kimihiro Utsumi	General Manager, Matsumoto Branch
Seiichi Inaba	General Manager, Midosuji-honmachi Branch
Yoshihisa Ueda	General Manager, Moriguchi Branch
Yoshinobu Fujii	General Manager, Wakayama Branch
Yasushi Ikegami	General Manager, Oita Branch
Shohei Akiyama	General Manager, Okayama Branch
Toshio Hirai	General Manager, Kagoshima Branch
Akinobu Hatanaka	General Manager, Kita-Kyushu Branch
Chosei Ishida	General Manager, Kumamoto Branch
Yasushi Nakayama	General Manager, Kochi Branch
Satoshi Osawa	General Manager, Kobe Branch
Haruki Takamiya	General Manager, Saga Branch
Naohito Ikeda	General Manager, Takamatsu Branch
Yasuhito Ichihashi	General Manager, Tokushima Branch
Masahiko Sakaguchi	General Manager, Nagasaki Branch
Koji Itai	General Manager, Naha Branch
Kaoru Minami	General Manager, Nishinomiya Branch
Keita Usami	General Manager, Himeji Branch
Masayasu Onishi	General Manager, Hiroshima Branch
Yoshiyuki Ninomiya	General Manager, Fukuoka Branch

Nobuhiro Ochi	General Manager, Fukuyama Branch
Takashi Iwai	General Manager, Matsuyama Branch
Toshimi Matsuo	General Manager, Miyazaki Branch
Hiromi Shiraishi	General Manager, Yonago Branch
Satoshi Kawamura	General Manager, Financial Products Intermediary Business with SMBC
Minoru Kawauchi	General Manager, Financial Products Intermediary Business
Yuji Anashige	General Manager, Public Institutions I
Keiji Wada	General Manager, Public Institutions II
Yasuo Shimizu	General Manager, Public Institutions III
Keiichi Yazawa	General Manager, Public Institutions IV
Hiroaki Aizawa	General Manager, Public Institution Operation
Masashi Sakamoto	General Manager, Private Banking
Yasuko Kito	General Manager, Personal Advisory for Corporate Employees
Yuji Suzuki	General Manager, Sales Group for Wholesale Clients
Fumikazu Nishimura	General Manager, Private Companies
Kiyokazu Watanabe	General Manager, Solution Business Planning I
Takashi Yamamoto	General Manager, Corporate Strategy
Koji Togawa	Deputy Head of Compensation & Benefit Planning
Takashi Sakurazawa	General Manager, Compensation & Benefit Planning
Shingo Kaname	General Manager, Investment Banking I
Kenichi Tamura	General Manager, Investment Banking II
Hiroshi Inoue	General Manager, Investment Banking III
Hiromasa Takakura	General Manager, Investment Banking IV
Nozomu Wakabayashi	General Manager, Investment Banking V
Kenichi Tamura	General Manager, Investment Banking VI

Mino Kawagoe	General Manager, Capital Markets Execution
Makoto Saito	General Manager, IPO Underwriting & Consulting
Tatsuya Shiomi	General Manager, Corporate Clients I
Akihiko Nagayama	General Manager, Corporate Clients II
Yuji Kawahara	General Manager, Financial Institutions & Public Sector Clients
Keita Suzuki	General Manager, Corporate Clients IV
Masafumi Yoda	General Manager, Corporate Clients V
Shuhei Narita	General Manager, Corporate Clients VI
Keiichi Takada	Deputy Head of Institutional Business Eastern Japan
Hiroyuki Takagi	General Manager, Institutional Business Sapporo
Shinya Saiki	General Manager, Institutional Business Sendai
Takeo Harada	General Manager, Institutional Business Niigata
Katsumi Oniki	General Manager, Institutional Business Saitama
Nobuhiro Wakamatsu	General Manager, Institutional Business Kanto
Nobuyuki Endo	General Manager, Institutional Business Chiba
Kiyoshi Takahashi	General Manager, Institutional Business Yokohama
Naoya Nobori	General Manager, Institutional Business Mito
Kazuhiko Terakado	General Manager, Institutional Business Nagoya
Hiroshi Asano	General Manager, Institutional Business Shizuoka
Kazuhito Izumida	General Manager, Institutional Business Osaka
Eiichiro Obana	General Manager, Institutional Business Kyoto
Kazushi Kato	General Manager, Institutional Business Kobe
Masaki Fukuoka	Deputy Head of Institutional Business Western Japan
Tetsuji Komura	Deputy Head of Institutional Business Western Japan
Koji Abe	General Manager, Institutional Business Okayama

Masayuki Tada	General Manager, Institutional Business Hiroshima
Koichi Urano	General Manager, Institutional Business Fukuoka
Hiroyuki Yamasaki	General Manager, Institutional Business Yamaguchi

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