



Translation of the Japanese press release

September 18, 2009  
Nikko Cordial Securities Inc.

## New Appointments

Nikko Cordial Securities Inc., which will start operations anew from October 1, 2009, today announces personnel changes as follows:

### **Appointments** (As of October 1, 2009 – planned)

#### Board of Director

Masayuki Oku	[New] Executive Director
	[Current] President, Sumitomo Mitsui Banking Corporation

#### Executive Officers

Masayuki Tanabe	[New] Executive Officer, Deputy Head of Retail Business Planning and Deputy Head of Financial Products Intermediary Business
	[Current] Sumitomo Mitsui Banking Corporation
Hiroyoshi Yoshioka	[New] Executive Officer, Deputy Head of Investment Banking Division and Co-Head of Corporate Business I
	[Current] Sumitomo Mitsui Banking Corporation
Atsushi Takada	[New] Executive Officer, Deputy Head of Compliance and Deputy Head of General Administration
	[Current] Sumitomo Mitsui Banking Corporation

#### Statutory Auditor

Kazuhiro Notsu	[New] Statutory Auditor
	[Current] Sumitomo Mitsui Banking Corporation

General Manager

Hiroyoshi Korosue	[New] Co-General Manager of Management Planning & Administration and Co-General Manager of Institutional Business Development
	[Current] Sumitomo Mitsui Banking Corporation

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