

October 11, 2011
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Change in Executive Officers (Effective as of October 11, 2011)

(1) Change in Executive Responsibilities

Taro Hayashi	[New] Managing Executive Officer, Senior Deputy Head of Corporate Planning and Senior Deputy Head of International Business
	[Current] Managing Executive Officer, Senior Deputy Head of Products Planning & Operation and Co-Head of Capital Markets Origination
Hisato Oiwa	[New] Managing Executive Officer, Head of Capital Markets Origination and Senior Deputy Head of Products Planning & Operation
	[Current] Managing Executive Officer, Senior Deputy Head of Products Planning & Operation and Co-Head of Capital Markets Origination

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