

September 10, 2012
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel change as follows.

1. Personnel Change (Effective as of September 10, 2012)

| | |
|---------------|---|
| Yasuko Ueyama | [New] General Manager, Gakuenmae Branch |
| | [Current] Section Manager, Personal Advisor Section and Counter Service Section, Yokohama-ekimae Branch |

###