

April 22, 2013
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Changes in Directors (Effective as of April 22, 2013)

(1) Appointment of Director

Fumiaki Kurahara	[New] Executive Director
	[Current] Managing Director (Executive Officer), Sumitomo Mitsui Banking Corporation

(2) Appointment of Statutory Auditor

Makoto Okumura	[New] Statutory Auditor
	[Current] Sumitomo Mitsui Banking Corporation

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