

October 18, 2013
SMBC Nikko Securities Inc.

Personnel Change

SMBC Nikko Securities Inc. announces personnel change as follows.

1. Change in Executive Officers (Effective as of October 18, 2013)

(1) Change in General Manager's Responsibility of Executive Officer

Susumu Kanda	[New] Head of Corporate Business (Corporate Clients IV, Corporate Clients V) and General Manager of Corporate Clients V
	[Current] Head of Corporate Business (Corporate Clients IV, Corporate Clients V)

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