

October 18, 2013  
SMBC Nikko Securities Inc.

**Personnel Change**

SMBC Nikko Securities Inc. announces personnel change as follows.

**1. Change in Executive Officers** (Effective as of October 18, 2013)

**(1) Change in General Manager's Responsibility of Executive Officer**

Susumu Kanda	[New] Head of Corporate Business (Corporate Clients IV, Corporate Clients V) and General Manager of Corporate Clients V
	[Current] Head of Corporate Business (Corporate Clients IV, Corporate Clients V)

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