

November 1, 2013
SMBC Nikko Securities Inc.

Organizational Changes

SMBC Nikko Securities Inc. announces organizational changes as follows.

1. Organizational Change (Effective as of November 1, 2013)

- (1) Corporate Business
Corporate Clients VIII Division (New)

2. Personnel Change (Effective as of November 1, 2013)

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|-----------------|---|
| Kazuhiko Yamada | [New] General Manager, Corporate Clients VIII |
| | [Current] Sumitomo Mitsui Banking Corporation |

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