

April 28, 2014  
SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. today announces personnel changes as follows.

**1. Change in Director** (Effective as of April 28, 2014)

**(1) Change in Executive Responsibility**

Noriyoshi Suzuki	[New] Head of Wealth management & Corporate Business Unit and Head of Corporate Business & Investment Banking
	[Current] Senior Executive Managing Director, Head of Wealth management & Corporate Business Unit

**2. Personnel Changes** (Effective as of April 28, 2014)

Toshio Sadayuki	[New] Deputy Head of Solution Business
	[Current] Deputy Head of Institutional Business
Tsunehiro Senga	[New] General Manager, Morioka Branch
	[Current] Sales Business Support Section, Sales Business Planning Division

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