

December 22, 2014
SMBC Nikko Securities Inc.

Personnel Change

SMBC Nikko Securities Inc. today announces personnel change as follows.

1. Personnel Change (Effective as of December 22, 2014)

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| Shinya Tamoto | [New] President & CEO, SMBC Nikko Bank (Luxembourg) S.A. |
| | [Current] Deputy Head of International Business |

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