

February 17, 2015  
SMBC Nikko Securities Inc.

## Notice of Start of Operations at Totsuka Branch

SMBC Nikko Securities Inc. (hereinafter, “the Company”) hereby announces that it has started operations at its new Totsuka Branch today.

The branch is located on the 4th floor, Totsukana (Totsuka Nishiguchi Kyodo Building), in front of the JR Totsuka Station west exit.

The Company is actively promoting measures to improve its sales infrastructure, including the opening new branches, under the objective of the sales department, “Simultaneous pursuit of the expansion of the client base by aggressively injecting resources and enhancing banking-securities collaboration, and the sustenance of earnings strength,” as one of the priority policies in the Medium-term Management Plan.

The inauguration of Totsuka Branch has increased the number of the Company’s domestic branches to 123 (the head office and 122 branches).

The Company will continue to offer higher value services with a sense of satisfaction and security based on its customer-first business philosophy.

### <Outline of Totsuka Branch>

- 1. Name of Branch:** Totsuka Branch
- 2. General Manager:** Otsuka Yukio
- 3. Address:** 4th floor, Totsukana (Totsuka Nishiguchi Kyodo Building)  
16-1, Totsuka-cho, Totsuka-ku  
Yokohama, Kanagawa, 244-0003, Japan
- 4. Phone number:** +81-45-862-7225
- 5. Business hours:** 9:00 to 15:30 (weekdays)  
(Telephone inquiries: 8:30 to 17:30 (weekdays))

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