

March 23, 2018
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Personnel Changes (Effective as of April 2, 2018)

Yoshihiro Ikeda	General Manager, International Business Planning
Kohei Mitamura	General Manager, International Business Supervisory
Takatoshi Hino	Senior Deputy Head of IPO Underwriting & Consulting, Investment Banking
Masaru Sakaguchi	Deputy Head of IPO Underwriting & Consulting, Investment Banking
Naoyo Morimitsu	Deputy Head of IPO Underwriting & Consulting, Investment Banking

2. Changes in Executive Officers (Effective as of May 1, 2018)

(1) Appointment of Executive Officer

Hitoshi Minami	Managing Executive Officer, Head of International Business Unit and Head of International Business
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(2) Change in Executive Officer

Masashi Iwakigawa	Executive Officer, Executive Deputy President, Head of Financial & Public Institutions Unit
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3. Retirement of Executive Officer (Effective as of July 31, 2018)

Kohei Hirota	Advisor
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4. Changes in Executive Officers (Effective as of August 1, 2018)

(1) Appointment of Executive Officer

Hitoshi Ishii	Senior Executive Officer, Head of Corporate Clients Unit and Investment Banking Unit
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(2) Change in Executive Officer

Tomonari Kimura	Managing Executive Officer, Representative Managing Director of Osaka, Head of Corporate Clients Osaka and Head of Corporate Banking (Investment Banking Osaka)
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