

March 23, 2018  
SMBC Nikko Securities Inc.

## Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

### 1. Personnel Changes (Effective as of April 2, 2018)

Yoshihiro Ikeda	General Manager, International Business Planning
Kohei Mitamura	General Manager, International Business Supervisory
Takatoshi Hino	Senior Deputy Head of IPO Underwriting & Consulting, Investment Banking
Masaru Sakaguchi	Deputy Head of IPO Underwriting & Consulting, Investment Banking
Naoyo Morimitsu	Deputy Head of IPO Underwriting & Consulting, Investment Banking

### 2. Changes in Executive Officers (Effective as of May 1, 2018)

#### (1) Appointment of Executive Officer

Hitoshi Minami	Managing Executive Officer, Head of International Business Unit and Head of International Business
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#### (2) Change in Executive Officer

Masashi Iwakigawa	Executive Officer, Executive Deputy President, Head of Financial & Public Institutions Unit
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### 3. Retirement of Executive Officer (Effective as of July 31, 2018)

Kohei Hirota	Advisor
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#### 4. Changes in Executive Officers (Effective as of August 1, 2018)

##### (1) Appointment of Executive Officer

Hitoshi Ishii	Senior Executive Officer, Head of Corporate Clients Unit and Investment Banking Unit
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##### (2) Change in Executive Officer

Tomonari Kimura	Managing Executive Officer, Representative Managing Director of Osaka, Head of Corporate Clients Osaka and Head of Corporate Banking (Investment Banking Osaka)
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