

【NEWS RELEASE】

October 12, 2023
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Change in Executive Officer (Effective as of October 12, 2023)

Name	New	Current
Antony Yates	Executive Officer, Based in London & President and CEO, SMBC Nikko Capital Markets Limited	President and CEO, SMBC Nikko Capital Markets Limited

2. Personnel Changes (Effective as of October 12, 2023)

Name	New	Current
Hisakazu Sakai	Senior Deputy Head of Private Corporate Finance and Co-General Manager, Private Corporate Advisory II	Senior Deputy Head of Private Corporate Finance
Fumiyasu Tasaki	Co-General Manager, Private Corporate Advisory II	General Manager, Private Corporate Advisory II

3. Personnel Change (Effective as of October 16, 2023)

Name	New	Current
Yukio Ishii	Senior General Manager, Senior Deputy Head of Global Business Planning	Senior General Manager (SMBC Nikko Securities Inc.) SMBC Nikko Capital Markets Limited