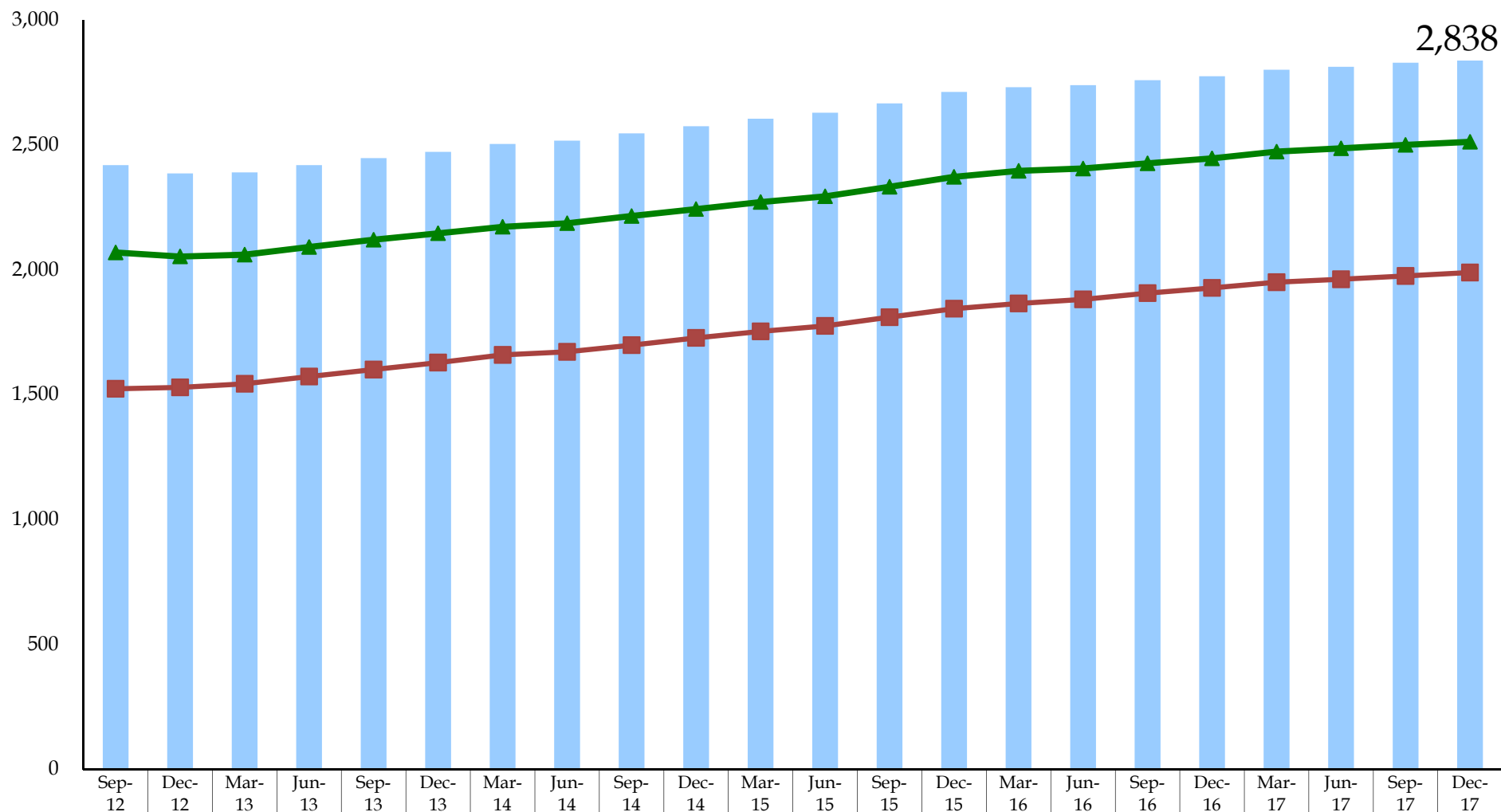


SMBC Nikko Securities - Number of Accounts -

(Thousands of accounts)



	Sep-12	Dec-12	Mar-13	Jun-13	Sep-13	Dec-13	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17	Jun-17	Sep-17	Dec-17
Total accounts	2,419	2,386	2,390	2,419	2,447	2,472	2,504	2,517	2,546	2,575	2,605	2,629	2,666	2,712	2,731	2,739	2,759	2,775	2,801	2,813	2,829	2,838
Cash management accounts	2,069	2,053	2,060	2,091	2,120	2,146	2,172	2,186	2,215	2,243	2,271	2,294	2,332	2,372	2,396	2,405	2,426	2,446	2,473	2,486	2,500	2,512
Nikko EZ trade accounts (Online Trading)	1,523	1,529	1,543	1,572	1,600	1,628	1,659	1,671	1,698	1,727	1,753	1,775	1,810	1,844	1,865	1,881	1,906	1,927	1,950	1,962	1,975	1,989