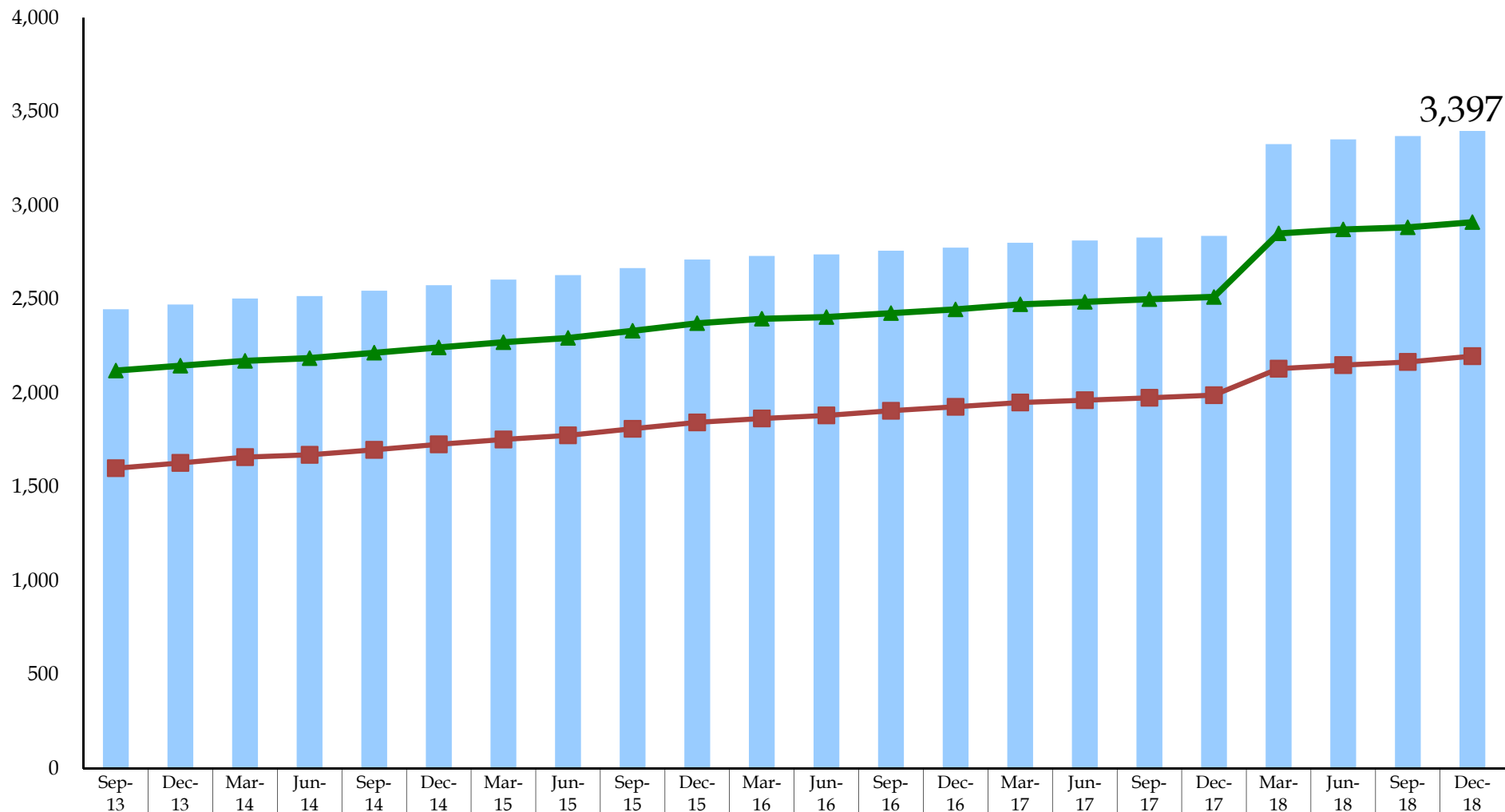


# SMBC Nikko Securities - Number of Accounts -

(Thousands of accounts)



	Sep-13	Dec-13	Mar-14	Jun-14	Sep-14	Dec-14	Mar-15	Jun-15	Sep-15	Dec-15	Mar-16	Jun-16	Sep-16	Dec-16	Mar-17	Jun-17	Sep-17	Dec-17	Mar-18	Jun-18	Sep-18	Dec-18
Total accounts	2,447	2,472	2,504	2,517	2,546	2,575	2,605	2,629	2,666	2,712	2,731	2,739	2,759	2,775	2,801	2,813	2,829	2,838	3,327	3,352	3,370	3,397
Cash management accounts	2,120	2,146	2,172	2,186	2,215	2,243	2,271	2,294	2,332	2,372	2,396	2,405	2,426	2,446	2,473	2,486	2,500	2,512	2,851	2,872	2,883	2,911
Nikko EZ trade accounts (Online Trading)	1,600	1,628	1,659	1,671	1,698	1,727	1,753	1,775	1,810	1,844	1,865	1,881	1,906	1,927	1,950	1,962	1,975	1,989	2,130	2,149	2,166	2,197