

August 1, 2024  
SMBC Nikko Securities Inc.

**(Revision) Revision of the Financial Results**  
**First Quarter of FY2024**

SMBC Nikko Securities Inc. hereby announces that it has revised the information presented in the Financial Results for the first Quarter of FY2024 released on July 29, 2024. This revision does not affect the consolidated financial statements. The revised figures are underlined on pages.

**Revised materials**

Financial Results for the first Quarter of FY2024 (released on July 29, 2024)

【Financial Results First Quarter of FY2024 (JGAAP)】

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Management Accounting Results (Quarterly Trend)

(Before Revision)

FY2023 1Q Ordinary profit : 23.4 billions of yen

Management Accounting

(Billions of Yen)	FY2023				FY2024
	1Q	2Q	3Q	4Q	1Q
Net operating revenue	107.9	110.4	115.7	139.4	133.1
SG&A	92.8	100.3	97.0	111.7	108.6
Operating profit / loss	15.1	10.1	18.6	27.7	24.5
Ordinary profit / loss	<u>23.4</u>	11.9	21.1	32.3	27.4
Profit / loss	8.3	10.3	14.6	24.2	20.1

(After Revision)

FY2023 1Q Ordinary profit : 14.7 billions of yen

Management Accounting

(Billions of Yen)	FY2023				FY2024
	1Q	2Q	3Q	4Q	1Q
Net operating revenue	107.9	110.4	115.7	139.4	133.1
SG&A	92.8	100.3	97.0	111.7	108.6
Operating profit / loss	15.1	10.1	18.6	27.7	24.5
Ordinary profit / loss	<u>14.7</u>	11.9	21.1	32.3	27.4
Profit / loss	8.3	10.3	14.6	24.2	20.1