



Translation of the Japanese press release

September 18, 2009
Nikko Cordial Securities Inc.

New Appointments

Nikko Cordial Securities Inc., which will start operations anew from October 1, 2009, today announces personnel changes as follows:

Appointments (As of October 1, 2009 – planned)

Board of Director

Masayuki Oku	[New] Executive Director
	[Current] President, Sumitomo Mitsui Banking Corporation

Executive Officers

Masayuki Tanabe	[New] Executive Officer, Deputy Head of Retail Business Planning and Deputy Head of Financial Products Intermediary Business
	[Current] Sumitomo Mitsui Banking Corporation
Hiroyoshi Yoshioka	[New] Executive Officer, Deputy Head of Investment Banking Division and Co-Head of Corporate Business I
	[Current] Sumitomo Mitsui Banking Corporation
Atsushi Takada	[New] Executive Officer, Deputy Head of Compliance and Deputy Head of General Administration
	[Current] Sumitomo Mitsui Banking Corporation

Statutory Auditor

Kazuhiro Notsu	[New] Statutory Auditor
	[Current] Sumitomo Mitsui Banking Corporation

General Manager

Hiroyoshi Korosue	[New] Co-General Manager of Management Planning & Administration and Co-General Manager of Institutional Business Development
	[Current] Sumitomo Mitsui Banking Corporation

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