



February 18, 2011  
Nikko Cordial Securities Inc.

## Name Revision of Wholly Owned Subsidiaries of Nikko Cordial

Nikko Cordial Securities Inc. (President & CEO: Eiji Watanabe, hereinafter “Nikko Cordial”) announces that Nikko Bank (Luxembourg) S.A. and Total Alpha Investment Fund Management Company S.A., consolidated subsidiaries of Nikko Cordial, have decided to change their name as described below.

### I. Nikko Bank (Luxembourg) S.A.

1. New Trade Name: SMBC Nikko Bank (Luxembourg) S.A.
2. Scheduled Date of Change: April 1, 2011
3. Company Profile (after the change)

(1) Company Name	SMBC Nikko Bank (Luxembourg) S.A.
(2) Head Office	9A, Rue Robert Stumper L-2557 Luxembourg Grand-Duchy of Luxembourg
(3) Name & Title of Representative	John Pierre Hettinger, Managing Director
(4) Principal Business	Administration & Custody of Foreign Registered Funds
(5) Amount of Capital	EUR40,154,672
(6) Date of Incorporation	February 14, 1974
(7) Shareholders and Ownership (as of February 18, 2011)	Nikko Cordial Securities Inc. 100%

### II. Total Alpha Investment Fund Management Company S.A.

1. New Trade Name: SMBC Nikko Investment Fund Management Company S.A.
2. Scheduled Date of Change: April 1, 2011
3. Company Profile (after the change)

(1) Company Name	SMBC Nikko Investment Fund Management Company S.A.
(2) Head Office	9A, Rue Robert Stumper L-2557 Luxembourg Grand-Duchy of Luxembourg
(3) Name & Title of Representative	Masashi Kamo, Chairman & Director
(4) Principal Business	Management of Foreign Registered Funds
(5) Amount of Capital	EUR 446,220
(6) Date of Incorporation	February 27, 1992
(7) Shareholders and Ownership (as of February 18, 2011)	Nikko Bank (Luxembourg) S.A. 100%

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