

April 1, 2011
SMBC Nikko Securities Inc.

Nikko Cordial to Change Name to SMBC Nikko

Nikko Cordial Securities Inc. today announces that the company has changed its name to SMBC Nikko Securities Inc. (President & CEO: Eiji Watanabe, hereinafter “SMBC Nikko”).

SMBC Nikko, which became a wholly owned subsidiary of Sumitomo Mitsui Banking Corporation (President: Takeshi Kunibe, “SMBC”) on October 1, 2009, has been expanding its retail and wholesale securities businesses in collaboration with SMBC as the SMFG Group’s core securities company.

The new company name represents our strong determination to provide high quality services to the clients of both companies as a member of SMFG Group by exercising professional skills and knowledge in cooperation with SMBC.

“Keeping the spirit of a “cordial” attitude, which Nikko had used in its company name for 10 years, as the very fiber of each of our employees, we will strive to offer our clients the highest level of satisfaction and security to promote the trust that we have earned from so many people for over 90 years.” commented Eiji Watanabe, President & CEO of SMBC Nikko.

Company Profile

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| (1) Name: | SMBC Nikko Securities Inc. |
| (2) President & CEO: | Eiji Watanabe |
| (3) Head office: | 3-1, Marunouchi 3-chome, Chiyoda-ku, Tokyo |
| (4) Business: | Financial transactions and other services |
| (5) Capital stock: | JPY 10 Billion |
| (6) Date of establishment: | June 15, 2009 |

New Logo



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