

September 29, 2011
 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Personnel Change (Effective as of September 29, 2011)

Toshio Sadayuki	[New] Deputy Head of Public Institutions
	[Current] Deputy Head of Financial Products Intermediary Business
Satoru Yanagisawa	[New] General Manager, Kawasaki Branch
	[Current] Co-General Manager, Financial Products Intermediary Business - Osaka SMBC Marketing
Naohisa Tsurumaru	[New] General Manager, Shinyurigaoka Branch
	[Current] Section Manager of Personal Advisor Section and Consultant Section, Morioka Branch
Mitsunori Inoshima	[New] General Manager, Yamagata Branch
	[Current] Section Manager, Consultant Section, Fukuyama Branch
Kimitoshi Utsunomiya	[New] General Manager, Kamata Branch
	[Current] Section Manager, Consultant Section, Nagoya Branch
Takashi Okumura	[New] General Manager, Karasuyama Branch
	[Current] Section Manager, Consultant Section, Ikebukuro Branch
Yasuhito Ichihashi	[New] General Manager, Gifu Branch
	[Current] General Manager, Tokushima Branch
Takeo Miyamae	[New] General Manager, Shizuoka Branch
	[Current] General Manager, Sakai Branch

Hiroshi Inoue	[New] General Manager, Niigata Branch
	[Current] General Manager, Gifu Branch
Ken Tanizaki	[New] General Manager, Sakai Branch
	[Current] General Manager, Kamata Branch
Masatoshi Suzuki	[New] General Manager, Tokushima Branch
	[Current] Section Manager, Retail Business Support, Retail Business Planning
Toshiyuki Hamada	[New] General Manager, Recruiting
	[Current] Deputy General Manager, Recruiting
Hirofumi Nishikawa	[New] General Manager, Products Management
	[Current] Co-General Manager, Products Planning & Operation
Makoto Nishioka	[New] General Manager, Products Planning & Operation
	[Current] Co-General Manager, Products Planning & Operation
Hideo Ichiki	[New] Co-General Manager, Financial Products Intermediary Business - Osaka SMBC Marketing
	[Current] General Sales Manager, Kobe Branch
Kazuhiro Kochi	[New] General Manager, IPO Underwriting & Consulting
	[Current] Deputy General Manager, IPO Underwriting & Consulting
Katsuyuki Kawabata	[New] General Sales Manager, Sapporo Branch
	[Current] General Manager, Karasuyama Branch
Kaoru Minami	[New] General Sales Manager, Kobe Branch
	[Current] Deputy General Manager of Equity Advice Center and Section Manager of Section I

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