

October 17, 2012 SMBC Nikko Securities Inc.

Commencement of Securities Business in Singapore

SMBC Nikko Securities Inc. (President & CEO: Eiji Watanabe, hereunder "SMBC Nikko") announced today the commencement of securities business such as brokerage of Japanese stocks and M&A advisory services in the Republic of Singapore ("Singapore") through SMBC Nikko Securities (Singapore) Pte. Ltd., a wholly owned subsidiary of SMBC Nikko.

Singapore has been attracting many global companies to set up their operations, and expects increasingly vigorous economic activities in the future as a center of international trades and investment even within the ASEAN region which has been experiencing significant development in the recent years.

The establishment of our new subsidiary in Singapore, a hub of the ASEAN region, will strengthen our global network, as we continue to enhance and upgrade our financial services for our clients. We continue to consider, as always, the expansion of our businesses according to the clients' needs and the development of respective markets.

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This material is an English translation of Japanese announcement made on October 17, 2012. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.