

April 22, 2013  
SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. today announces personnel changes as follows.

**1. Changes in Directors** (Effective as of April 22, 2013)

**(1) Appointment of Director**

Fumiaki Kurahara	[New] Executive Director
	[Current] Managing Director (Executive Officer), Sumitomo Mitsui Banking Corporation

**(2) Appointment of Statutory Auditor**

Makoto Okumura	[New] Statutory Auditor
	[Current] Sumitomo Mitsui Banking Corporation

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