

September 18, 2013
SMBC Nikko Securities Inc.

Organizational Changes

SMBC Nikko Securities Inc. announces organizational changes as follows.

1. Organizational Changes (Effective as of September 18, 2013)

- (1) Financial Markets (Reorganized)
Derivatives Division is reorganized as Derivatives Market Division and Structured Products Division.
- (2) Customer Service (Change of name)
Tokyo Customer Service Center is renamed Tokyo Contact Center.
Okinawa Customer Service Center is renamed Okinawa Contact Center.

2. Personnel Changes (Effective as of September 18, 2013)

Nobuhiro Matsumoto	[New] General Manager, Derivatives Market
	[Current] Co-General Manager, Derivatives
Kazuki Nishi	[New] General Manager, Structured Products
	[Current] Co-General Manager, Derivatives
Ryoichi Tachibana	[New] General Manager, Capital Markets Solutions
	[Current] Equity Capital Markets
Hideki Inada	[New] General Manager, Tokyo Contact Center
	[Current] General Manager, Tokyo Customer Service Center
Masakatsu Tsukurimichi	[New] General Manager, Okinawa Contact Center
	[Current] General Manager, Okinawa Customer Service Center

This material is an English translation of Japanese announcement made on September 18, 2013. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.

Masaru Sekido	[New] General Manager, Utsunomiya Branch
	[Current] General Manager, Matsudo Branch
Motokiyo Ueno	[New] General Manager, Matsudo Branch
	[Current] General Manager, Ichigaya Branch
Masanori Nitta	[New] General Manager, Yamagata Branch
	[Current] Section Manager, Consultant Section, Nagoya Branch
Kenichiro Murata	[New] General Manager, Ichigaya Branch
	[Current] Section Manager, Consultant Section, Sendai Branch
Yuki Harano	[New] General Manager, Karasuyama Branch
	[Current] Section Manager of Personal Advisor Section and Section Manager of Counter Service Section, Ueno Branch
Kentaro Gushima	[New] General Manager, Atsugi Branch
	[Current] Section Manager, Consultant Section, Shibuya Branch
Hiroshi Inoue	[New] General Manager, Shizuoka Branch
	[Current] General Manager, Niigata Branch
Yasushi Nakayama	[New] General Manager, Niigata Branch
	[Current] General Manager, Utsunomiya Branch
Takashi Takeuchi	[New] General Manager, Senri-chuo Branch
	[Current] Section Manager, Recruiting, Human Resources
Shigeru Muramatsu	[New] General Manager, Nara Branch
	[Current] General Manager, Senri-chuo Branch
Michiharu Mochidome	[New] General Manager, Oita Branch
	[Current] Section Manager, Consultant Section, Ikebukuro Branch

Koki Wakamatsu	[New] General Manager, Naha Branch
	[Current] Section Manager of Personal Advisor Section and Section Manager of Counter Service Section, Mito Branch
Makoto Koyama	[New] General Manager, Public Institutions IV
	[Current] General Manager, Naha Branch

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