



October 18, 2013 SMBC Nikko Securities Inc.

Personnel Change

SMBC Nikko Securities Inc. announces personnel change as follows.

1. Change in Executive Officers (Effective as of October 18, 2013)

(1) Change in General Manager's Responsibility of Executive Officer

Susumu Kanda	[New] Head of Corporate Business (Corporate Clients IV, Corporate Clients V) and General Manager of Corporate Clients V
	[Current] Head of Corporate Business (Corporate Clients IV, Corporate Clients V)

###

This material is an English translation of Japanese announcement made on October 18, 2013. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.