

November 1, 2013
SMBC Nikko Securities Inc.

Organizational Changes

SMBC Nikko Securities Inc. announces organizational changes as follows.

1. Organizational Change (Effective as of November 1, 2013)

- (1) Corporate Business
Corporate Clients VIII Division (New)

2. Personnel Change (Effective as of November 1, 2013)

Kazuhiko Yamada	[New] General Manager, Corporate Clients VIII
	[Current] Sumitomo Mitsui Banking Corporation

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