

April 28, 2014
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Change in Director (Effective as of April 28, 2014)

(1) Change in Executive Responsibility

Noriyoshi Suzuki	[New] Head of Wealth management & Corporate Business Unit and Head of Corporate Business & Investment Banking
	[Current] Senior Executive Managing Director, Head of Wealth management & Corporate Business Unit

2. Personnel Changes (Effective as of April 28, 2014)

Toshio Sadayuki	[New] Deputy Head of Solution Business
	[Current] Deputy Head of Institutional Business
Tsunehiro Senga	[New] General Manager, Morioka Branch
	[Current] Sales Business Support Section, Sales Business Planning Division

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