

May 1, 2014
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Change in Executive Officer

(1) Change in General Manager's Responsibility of Executive Officer
(Effective as of May 1, 2014)

Susumu Kanda	[New] (released) General Manager of Corporate Clients V
	[Current] Executive Officer, Head of Corporate Business & Investment Banking (Corporate Clients III, Corporate Clients V, Corporate Clients VIII, Investment Banking I and Investment Banking V) and General Manager of Corporate Clients V

2. Personnel Changes (Effective as of May 1, 2014)

Atsushi Murata	[New] General Manager of SMBC Alliance Business Development Osaka
	[Current] Sumitomo Mitsui Banking Corporation
Shojiro Hirota	[New] General Manager of Financial Products Intermediary Business - Osaka SMBC Marketing
	[Current] General Manager of SMBC Alliance Business Development Osaka and General Manager of Financial Products Intermediary Business - Osaka SMBC Marketing
Kozo Mikawa	[New] General Manager of Corporate Clients V
	[Current] Deputy General Manager of Corporate Clients VII

###