

October 7, 2014
SMBC Nikko Securities Inc.

Personnel Change (Concurrent Management Responsibilities)

SMBC Nikko Securities Inc. announces personnel change as follows.

1. Concurrent Management Responsibilities (Effective as of October 6, 2014) (GMT)

Hisato Oiwa	[New] Managing Executive Officer, Senior Deputy Head of International Business and Director and Chairman of SMBC Nikko Capital Markets Limited
	[Current] Managing Executive Officer, Senior Deputy Head of International Business

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