

December 29, 2014  
SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. today announces personnel changes as follows.

**1. Changes in Directors and Executive Officers (Effective as of January 16, 2015)**

**(1) Retiring Director**

Yasuki Matsui	[New] Senior Executive Officer
	[Current] Senior Executive Managing Director

**(2) Retiring Executive Officer**

Hiroyuki Iwami	[New] Senior Executive Managing Director
	[Current] Managing Executive Officer

**(3) Appointment of Executive Director**

Hiroyuki Iwami	[New] Executive Director
	[Current] Managing Executive Officer

**(4) Appointment of Executive Officers**

Yasuki Matsui	[New] Executive Officer
	[Current] Senior Executive Managing Director
Taneki Ono	[New] Executive Officer
	[Current] Sumitomo Mitsui Banking Corporation

**(5) Changes in Director and Executive Officers**

Hiroyuki Iwami	[New] Senior Executive Managing Director
	[Current] Executive Director
Yasuki Matsui	[New] Senior Executive Officer
	[Current] Executive Officer
Taneki Ono	[New] Managing Executive Officer
	[Current] Executive Officer

**(6) Changes in Executive Responsibilities**

Hiroyuki Iwami	[New] Head of Corporate Business & Investment Banking Unit
	[Current] Senior Deputy Head of Corporate Business & Investment Banking Unit
Yasuki Matsui	[New] Head of Corporate Business & Investment Banking
	[Current] Head of Capital Markets Unit and Head of Corporate Business & Investment Banking Unit
Taneki Ono	[New] Head of Capital Markets Unit and Senior Deputy Head of Corporate Business & Investment Banking Unit

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