

October 2, 2015
SMBC Nikko Securities Inc.

Personnel Change

SMBC Nikko Securities Inc. announces personnel change as follows.

1. Change in Executive Officer (Effective as of October 2, 2015)

(1) Change in General Manager's Responsibility of Executive Officer

Hideki Sakamoto	[New] Executive Officer, Head of Investment Banking (Investment Banking IV, Investment Banking VI, Investment Banking VII, Investment Banking VIII, Industrial Research) , General Manager of Investment Banking IV and General Manager of Investment Banking VII
	[Current] Executive Officer, Head of Investment Banking (Investment Banking IV, Investment Banking VI, Investment Banking VII, Investment Banking VIII, Industrial Research) and General Manager of Investment Banking IV

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