

March 16, 2016
SMBC Nikko Securities Inc.

The replacement of the Representative Director, President & CEO

SMBC Nikko Securities Inc. today announces the replacement of the Representative Director, President & CEO as follows.

1. The replacement of the Representative Director, President & CEO
(Effective as of April 1, 2016)

Tetsuya Kubo	[New] Representative Director, Chairman
	[Current] Representative Director, President & CEO
Yoshihiko Shimizu	[New] Representative Director, President & CEO
	[Current] Representative Director, Executive Deputy President

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Yoshihiko Shimizu
SMBC Nikko Securities Inc.
Representative Director, President & CEO



Date of Birth: December 3, 1955

Education: Graduated from Waseda University
with a Bachelor of Commerce in March 1978

Business Experience:

April	1978	Joined The Sumitomo Bank, Limited
October	1996	General Manager, Shinyokohama Branch
October	1998	General Manager, Shizuoka Branch
April	1999	General Manager, Shizuoka Corporate Business Office and Shizuoka Branch
April	2001	General Manager, Corporate Business Office- I , Sumitomo Mitsui Banking Corporation(SMBC)
June	2002	General Manager, Marunouchi Corporate Business Office
April	2004	Director and General Manager, Marunouchi Corporate Business Office
June	2005	Director and General Manager, Planning Dept., Middle Market Banking Unit
April	2006	Director and General Manager, Planning Dept., Corporate Banking Unit & Middle Market Banking Unit
April	2008	Managing Director and General Manager, Planning Dept., Corporate Banking Unit & Middle Market Banking Unit
April	2009	Managing Director, Internal Audit Dept., Credit Review Dept.
April	2010	Senior Managing Director, Member of the Board of Directors, Head of Middle Market Banking Unit Corporate Advisory Division, Global Advisory Dept., Corporate Banking Unit & Middle Market Banking Unit & International Banking Unit

April	2012	Deputy President, Member of the Board of Directors, Head of Middle Market Banking Unit Transaction Business Division
April	2014	Vice Chairman of the Board
June	2015	SMBC Nikko Securities Inc. Advisor
September	2015	Representative Director, Executive Deputy President
April	2016	Representative Director, President & CEO