

March 25, 2016  
 SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. today announces personnel changes as follows.

**1. Change in Executive Officer** (Effective as of April 1, 2016)

**(1) Change in General Manager's Responsibility of Executive Officer**

Teruya Sugino	[New] (released) General Manager of Wholesale Business Administration
	[Current] Executive Officer, Head of Institutional Business Planning and General Manager of Wholesale Business Administration

**2. Personnel Change** (Effective as of April 1, 2016)

Koji Ueda	[New] General Manager of Wholesale Business Administration
	[Current] Sumitomo Mitsui Banking Corporation

**3. Changes in Executive Officer** (Effective as of May 1, 2016)

**(1) Appointment of Executive Officer**

Mitsuhiro Akiyama	[New] Executive Officer
	[Current] Sumitomo Mitsui Banking Corporation

**(2) Changes in Executive Responsibilities**

Takahiro Yazawa	[New] Executive Officer, Senior Deputy Head of International Business
	[Current] Executive Officer, Head of International Business
Mitsuhiro Akiyama	[New] Executive Officer, Head of International Business

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