

April 15, 2016
 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows. Appointment of Statutory Auditor is subject to final approval at the General Meeting of Shareholders scheduled to be held on April 21, 2016.

1. Changes in Directors

(1) Change in Executive Responsibility (Effective as of April 15, 2016)

Satoshi Osawa	[New] Executive Officer, Senior Deputy Head of Sales Unit and Head of Institutional Business
	[Current] Executive Officer, Head of Institutional Business

(2) Appointment of Statutory Auditor (Effective as of April 21, 2016)

Bungo Miura	[New] Statutory Auditor
	[Current] Sumitomo Mitsui Banking Corporation

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