

May 23, 2016
 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Changes in Directors and Executive Officers (Effective as of June 27, 2016)

(1) Retirement of Directors and Appointment of Executive Officers

Kohei Hirota	[New] Executive Officer, Executive Deputy President
	[Current] Director, Executive Deputy President
Noriyoshi Suzuki	[New] Senior Executive Officer
	[Current] Senior Executive Managing Director
Kunio Sato	[New] Senior Executive Officer
	[Current] Senior Executive Managing Director

(2) Retirement of Outside Statutory Auditor

Kazuhiro Fujii	Outside Statutory Auditor
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(3) Appointment of Outside Executive Directors

Hideki Kato President, JAPAN INITIATIVE	Outside Executive Director
Tomomi Yatsu Partner, TMI Associates	Outside Executive Director

(4) Appointment of Outside Statutory Auditor

Hisaya Ishida Sumitomo Mitsui Banking Corporation	Outside Statutory Auditor
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2. Change in Executive Officer (Effective as of June 30, 2016)

(1) Retirement of Executive Officer

Kunio Sato	[New] Advisor
	Senior Executive Officer

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