

November 9, 2016
SMBC Nikko Securities Inc.

Personnel Change (Concurrent Management Responsibilities)

SMBC Nikko Securities Inc. today announces personnel change as follows.

1. Concurrent Management Responsibilities (Effective as of November 8, 2016) (GMT)

Takahiro Yazawa	Executive Officer, Senior Deputy Head of International Business of SMBC Nikko Securities Inc., Executive Director and Chairman of SMBC Nikko Capital Markets Limited
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