



November 15, 2016 SMBC Nikko Securities Inc.

## **Retirement of Statutory Auditor**

SMBC Nikko Securities Inc. today announces the retirement of the Statutory Auditor as follows.

1. Retirement of Statutory Auditor (Effective as of November 15, 2016)

Bungo Miura

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This material is an English translation of Japanese announcement made on November 15, 2016. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.