

March 23, 2017
 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Personnel Changes (Effective as of April 1, 2017)

Susumu Masuda	Deputy Head of Product Planning
Yuichi Nishioka	General Manager, Alliance Business Planning
Tadaaki Komori	Advisor

2. Changes in Executive Officers (Effective as of July 1, 2017)

Naoki Takahashi	Managing Executive Officer, Senior Deputy Head of Corporate Clients & Investment Banking Unit
Tadaaki Komori	Executive Officer, Head of Corporate Clients (Corporate Clients I, Corporate Clients II, Corporate Clients VII)

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