

May 22, 2017  
SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. today announces personnel changes as follows. Appointment of Statutory Auditor is subject to final approval at the General Meeting of Shareholders scheduled to be held on June 26, 2017.

**1. Appointment of Statutory Auditor (Effective as of June 26, 2017)**

Shinichiro Ogata

**2. Retirement of Statutory Auditor (Effective as of June 26, 2017)**

Masami Yoshihara

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