

December 1, 2017
 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. today announces personnel changes as follows.

1. Appointment of Executive Officer (Effective as of January 1, 2018)

Hiroyasu Okano	Managing Executive Officer, Senior Deputy Head of Administration Unit
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2. Appointment of Advisors (Effective as of January 1, 2018)

Koichi Danno

Ikuhiko Morikawa

3. Personnel Changes (Effective as of January 1, 2018)

Ryosuke Matsuki	General Manager, Kabuto-cho Branch
Keiji Ogura	Deputy Head of Compliance
Hiroyuki Kogure	Deputy Head of Management Planning & Administration
Kenichi Fujisaki	Deputy Head of Sales Business Planning
Akihisa Yamamoto	Deputy Head of Sales Business Planning
Honami Watanabe	Deputy Head of Sales Business Planning
Masanori Ogawa	Deputy Head of Sales Business Planning
Yoshiyasu Yasui	Deputy Head of Sales Business Planning
Hideto Nagamatsu	Deputy Head of Regional Financial Institutions and Corporate Clients
Shinji Hayashi	General Manager, Ota Branch
Hirofumi Machida	General Manager, Tochigi Branch

This material is an English translation of Japanese announcement made on December 1, 2017. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.

Futoshi Hirano	General Manager, Honjo Fukaya Branch
Toshikazu Sasaki	General Manager, Maebashi Branch
Tsutomu Shoji	General Manager, Shinkoiwa Branch
Hideyuki Katsume	General Manager, Nagoya-Chuo Branch
Masahiko Murase	General Manager, Kishiwada Branch
Hideyuki Sugito	General Manager, Kori Branch
Kenji Shimokawa	General Manager, Yao Branch
Mitsuo Kotani	General Manager, Kobe-Chuo Branch
Masaru Sekido	General Manager, Abeno Harukas Branch
Yoshitaka Ogawa	General Manager, Kosaka Branch
Masatoshi Suzuki	General Manager, Bingomachi Branch
Masashi Noda	General Manager, Itami Branch

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