

【NEWS RELEASE】

September 12, 2018
SMBC Nikko Securities Inc.

Organizational and Personnel Changes

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

1. Organizational Changes (Effective as of September 12, 2018)

- (1) Product Planning
 - Global Markets Administration Division is established.

- (2) Public Institutions
 - Public Institutions III Division is abolished and the business is transferred to Public Institutions I and II Divisions.

2. Changes in Executive Officers (Effective as of September 12, 2018)

Shuji Yoshioka	Executive Officer, Senior Deputy Head of Equity and Senior Deputy Head of Research (Equity Research)
Hironori Hattori	Executive Officer, Senior Deputy Head of Financial Markets and Senior Deputy Head of Research (Financial Market & Economic Research)

3. Personnel Changes (Effective as of September 12, 2018)

Takahiro Takeuchi	General Sales Manager, Sendai Branch
Kazuhiro Kishimoto	General Sales Manager, Omiya Branch
Itaru Kishimoto	General Manager, Nakano Branch
Makoto Kubota	General Manager, Ueno Branch
Saori Kobayashi	General Manager, Jiyugaoka Branch
Mitsumi Ukai	General Manager, Toranomom Branch
Hidehisa Hoshuyama	General Manager, Osaka Branch
Mitsuru Tanaka	General Manager, Otsu Branch
Kazuhisa Sunada	General Manager, Global Markets Planning and General Manager, Global Markets Administration
Takeshi Umeda	Co-General Manager, Public Institutions II
Yoshimi Wada	Co-General Manager, Public Institutions II

4. Personnel Change (Effective as of September 26, 2018)

Yasuhiro Okuda	General Manager, Kori Branch
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5. Personnel Change (Effective as of November 19, 2018)

Kenji Morinaga	General Manager, Kojimachi Branch
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