

[NEWS RELEASE]

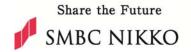
September 12, 2018 SMBC Nikko Securities Inc.

Organizational and Personnel Changes

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

- 1. Organizational Changes (Effective as of September 12, 2018)
 - (1) Product Planning
 - · Global Markets Administration Division is established.
 - (2) Public Institutions
 - Public Institutions III Division is abolished and the business is transferred to Public Institutions I and II Divisions.
- 2. Changes in Executive Officers (Effective as of September 12, 2018)

| Shuji Yoshioka | Executive Officer, Senior Deputy Head of Equity and Senior Deputy Head of Research (Equity Research) |
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| Hironori Hattori | Executive Officer, Senior Deputy Head of Financial Markets and Senior Deputy Head of Research (Financial Market & Economic Research) |





3. Personnel Changes (Effective as of September 12, 2018)

| Takahiro Takeuchi | General Sales Manager, Sendai Branch |
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| Kazuhiro Kishimoto | General Sales Manager, Omiya Branch |
| Itaru Kishimoto | General Manager, Nakano Branch |
| Makoto Kubota | General Manager, Ueno Branch |
| Saori Kobayashi | General Manager, Jiyugaoka Branch |
| Mitsumi Ukai | General Manager, Toranomon Branch |
| Hidehisa Hoshuyama | General Manager, Osaka Branch |
| Mitsuru Tanaka | General Manager, Otsu Branch |
| Kazuhisa Sunada | General Manager, Global Markets Planning and General Manager, Global Markets Administration |
| Takeshi Umeda | Co-General Manager, Public Institutions II |
| Yoshimi Wada | Co-General Manager, Public Institutions II |

4. Personnel Change (Effective as of September 26, 2018)

| Yasuhiro Okuda | General Manager, Kori Branch |
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5. Personnel Change (Effective as of November 19, 2018)

| Kenji Morinaga | General Manager, Kojimachi Branch |
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