

【NEWS RELEASE】

March 25, 2019
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Changes in Executive Officers (Effective as of April 1, 2019)

Bungo Miura	Executive Officer, Senior Deputy Head of International Business and President, SMBC Nikko Securities America, Inc.
Makoto Nishioka	Executive Officer, Head of Product Marketing
Ken Tanizaki	Executive Officer, Head of Shutoken West District

2. Personnel Changes (Effective as of April 1, 2019)

Shinichiro Tani	Senior Deputy Head of Internal Audit
Kouichi Tsuchida	Deputy Head of Compliance and Deputy Head of Risk Management
Masako Kajima	General Manager, Insurance Products Marketing
Shiniji Sasaoka	General Manager, Shinyurigaoka Branch

3. Changes in Executive Officers (Effective as of May 1, 2019)

(1) Change in Executive Officer

Hiroshi Mishima	Executive Officer, Executive Deputy President, Head of Product Marketing Unit and Head of Global Markets Unit
-----------------	---

(2) Appointment of Executive Officer

Toshihiro Sato	Managing Executive Officer, Senior Deputy Head of Global Markets Unit, Head of Financial Markets and Co-Head of Research (Financial Market & Economic Research)
----------------	---

4. Change in Director (Effective as of June 17, 2019)

(1) Appointment of Director

Eiichi Tanabe	Executive Director(Outside)
---------------	-----------------------------

5. Changes in Executive Officers (Effective as of August 1, 2019)

(1) Change in Executive Officer

Susumu Kanda	Executive Officer, Representative Managing Director of Hokkaido and Tohoku
--------------	--

(2) Appointment of Executive Officers

Reiji Domoto	Executive Officer, Representative Managing Director of Kobe, Chugoku, Shikoku and Kyushu
Kiichiro Hondo	Executive Officer, Representative Managing Director of Kanto, Hokuriku and Koshin and Senior Deputy Head of Corporate Banking

###