

[NEWS RELEASE]

April 11, 2019 SMBC Nikko Securities Inc.

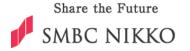
Retirement of Statutory Auditor

SMBC Nikko Securities Inc. today announces the retirement of the Statutory Auditor as follows.

1. Retirement of Statutory Auditor (Effective as of April 30, 2019)

Osamu Itagaki

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This material is an English translation of Japanese announcement made on April 11, 2019. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.