

## 【NEWS RELEASE】

March 18, 2020  
SMBC Nikko Securities Inc.

**The replacement of the Representative Director, President & CEO**

SMBC Nikko Securities Inc. today announces the replacement of the Representative Director, President & CEO as follows.

**1. The replacement of the Representative Director, President & CEO**  
(Effective as of April 1, 2020)

Yoshihiko Shimizu	[New] Representative Director, Chairman
	[Current] Representative Director, President & CEO
Yuichiro Kondo	[New] Representative Director, President & CEO
	[Current] Director and Senior Managing Executive Officer, Head of Planning Unit and Head of Finance

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**Media:**

SMBC Nikko Securities Inc.  
+81-3-3283-9933

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This material is an English translation of Japanese announcement made on March 18, 2020. Although the company intended to faithfully translate the Japanese document into English, the accuracy and correctness of this translation are not guaranteed and thus you are encouraged to refer to the original Japanese document.

**Yuichiro Kondo**

SMBC Nikko Securities Inc.

Representative Director, President &amp; CEO

**Date of Birth:** November 24, 1962**Education:** Graduated from Doshisha University with a Bachelor of Commerce in March 1986**Business Experience:**

April	1986	Joined The Nikko Securities co.,Ltd. (Wakayama Branch)
March	2001	General Manager, Motoyawata Branch
October	2001	Nikko Cordial Securities Inc. General Manager, Motoyawata Branch
March	2003	Deputy General Manager, Sales Planning
March	2004	General Manager, Public Institutions II
February	2006	General Manager, Public Institutions I
February	2007	General Manager, Management Planning & Administration
October	2009	Co-General Manager, Management Planning & Administration
March	2010	Executive Officer, Senior Deputy Head of Business & Strategic Planning and General Manager, Management Planning & Administration
April	2010	Executive Officer, Senior Deputy Head of Business & Strategic Planning
March	2011	Executive Officer, Senior Deputy Head of Financial Markets
April	2011	SMBC Nikko Securities Inc. Executive Officer, Senior Deputy Head of Financial Markets
March	2012	Executive Officer, Senior Deputy Head of Capital Markets Origination
March	2014	Executive Officer, Head of Financial & Public Institutions
March	2015	Managing Executive Officer, Head of Financial & Public Institutions
March	2016	Managing Executive Officer, Head of Financial & Public Institutions Unit
March	2018	Executive Managing Director, Head of Planning Unit
March	2019	Senior Executive Managing Director, Head of Planning Unit and Head of Finance

June	2019	Director and Senior Managing Executive Officer, Head of Planning Unit and Head of Finance
April	2020	Representative Director, President & CEO