

【NEWS RELEASE】

June 1, 2021
SMBC Nikko Securities Inc.

Organizational and Personnel Changes

SMBC Nikko Securities Inc. announces organizational and personnel changes as follows.

1. Organizational Change (Effective as of June 1, 2021)

(1) Private Wealth

- Personal Advisory for Corporate Employees Division is renamed Wealth Advisory Division.

2. Personnel Change (Effective as of June 1, 2021)

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| Yosuke Tanaka | General Manager, Wealth Advisory |
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