

[NEWS RELEASE]

September 27, 2022 SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

- **1. Changes in Directors** (Effective as of September 27, 2022)
 - (1) Retirement of Director

Shinya Inose	Managing Executive Officer, Head of Board of Directors Office
	and Senior Deputy Head of Business Risk Control

(2) Appointment of Director

Kenichi Hida	Director and Deputy President Executive Officer, Head of
	Compliance Unit

2. Changes in Executive Officers (Effective as of September 27, 2022)

Masashi Sakamoto	Senior Managing Executive Officer, Head of Sales Unit
Daiji Nakata	Executive Officer, Senior Deputy Head of Sales Unit and Head of Sales Planning

3. Personnel Change (Effective as of September 27, 2022)

Yuichiro Kibe	Senior General Manager, Head of Private Wealth

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