

【NEWS RELEASE】

March 24, 2023
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Changes in Directors

(1) Retirement of Director (Effective as of March 31, 2023)

Name	New	Current
Toru Nakashima	-	Director

(2) Appointment of Director (Effective as of April 1, 2023)


Name	New	Current
Fumihiko Ito	Director	Managing Executive Officer of Sumitomo Mitsui Financial Group, Inc. Managing Executive Officer of Sumitomo Mitsui Banking Corporation

2. Changes in Executive Officers (Effective as of April 1, 2023)

Name	New	Current
Bungo Miura	Managing Executive Officer, Senior Deputy Head of Global Business Planning (based in New York), Chairman, SMBC Nikko Securities America Inc., and Deputy Head of Special Missions (North America)	Managing Executive Officer, Senior Deputy Head of Global Business Planning (based in New York), Chairman and President, SMBC Nikko Securities America Inc., and Deputy Head of Special Missions (North America)
Scott A. Ashby	Managing Executive Officer (President, SMBC Nikko Securities America Inc.)	Senior Deputy President, SMBC Nikko Securities America Inc.
Kazunori Hata	Executive Officer, Head of West Japan / Hokuriku District	Sumitomo Mitsui Banking Corporation

3. Personnel Changes (Effective as of April 1, 2023)

Name	New	Current
Yasuhiko Aosaka	Deputy Head of Global Business Planning	Sumitomo Mitsui Banking Corporation
Goro Goda	Sumitomo Mitsui Banking Corporation and Head of Technology, Media & Telecom Group II	Sumitomo Mitsui Banking Corporation
Toshitake Funaki	Sumitomo Mitsui Banking Corporation and Head of Consumer, Retail & Healthcare Group II	Sumitomo Mitsui Banking Corporation
Naohiro Shigeno	Deputy Head of Financial & Public Institutions	Sumitomo Mitsui Banking Corporation

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This translation is based on the press release in Japanese announced on March 24, 2023. The content of the translation may not be coincident with the original.