

【NEWS RELEASE】

September 13, 2023
SMBC Nikko Securities Inc.

Personnel Changes

SMBC Nikko Securities Inc. announces personnel changes as follows.

1. Changes in Executive Officers (Effective as of October 1, 2023)

Name	New	Current
Masashi Sakamoto	Senior Managing Executive Officer, Head of Sales Unit and Head of Sales Planning	Senior Managing Executive Officer, Head of Sales Unit
Daiji Nakata	Managing Executive Officer, Senior Deputy Head of Sales Unit	Managing Executive Officer, Senior Deputy Head of Sales Unit, Head of Sales Planning and Head of Direct Marketing

2. Personnel Changes (Effective as of October 1, 2023)

Name	New	Current
Masahiro Yamaguchi	Senior General Manager, Head of Direct Marketing, Senior Deputy Head of Sales Planning and General Manager, Sales Planning	Senior General Manager, General Manager, Sales Planning
Hisakazu Sakai	Senior Deputy Head of Private Corporate Finance	Deputy Head of Private Corporate Finance
Yoichi Hirano	General Manager, Capital Markets Execution	Capital Markets Execution