

# **(NEWS RELEASE)**

October 12, 2023 SMBC Nikko Securities Inc.

# **Personnel Changes**

SMBC Nikko Securities Inc. announces personnel changes as follows.

#### 1. Change in Executive Officer (Effective as of October 12, 2023)

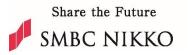
Name	New	Current
Antony Yates	Executive Officer, Based in London & President and CEO,	President and CEO, SMBC Nikko Capital Markets
	SMBC Nikko Capital Markets Limited	Limited

## 2. Personnel Changes (Effective as of October 12, 2023)

Name	New	Current
Hisakazu Sakai	Senior Deputy Head of Private Corporate Finance and	Senior Deputy Head of Private Corporate Finance
	Co-General Manager, Private Corporate Advisory II	
Fumiyasu Tasaki	Co-General Manager, Private Corporate Advisory II	General Manager, Private Corporate Advisory II

### 3. Personnel Change (Effective as of October 16, 2023)

Name	New	Current
Yukio Ishii	Senior General Manager, Senior Deputy Head of Global	Senior General Manager (SMBC Nikko Securities Inc.)
	Business Planning	SMBC Nikko Capital Markets Limited



This translation is based on the press release in Japanese announced on October 12, 2023. The content of the translation may not be coincident with the original.