

## [NEWS RELEASE]

January 31, 2024 SMBC Nikko Securities Inc.

## The replacement of the Representative Directors and President & CEO

SMBC Nikko Securities Inc. today announces the replacement of the Representative Directors and President & CEO as follows.

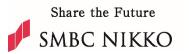
1.	<b>Change in Director</b>	(Effective as	of January	31, 2024)
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Name	New	Current
Shuji Yoshioka	Director and Senior Managing Executive Officer	Senior Managing Executive Officer

## 2. Changes in Representative Directors (Effective as of April 1, 2024)

Name	New	Current
Yasuyuki Kawasaki	Advisor	Representative Director, Chairman
Yuichiro Kondo	Advisor	Representative Director, President & CEO
Shuji Yoshioka	Representative Director, President & CEO	Director and Senior Managing Executive Officer

\* Director (Outside) Tokio Morita will be appointed Chair of the board as of April 1, 2024.



This translation is based on the press release in Japanese announced on January 31, 2024. The content of the translation may not be coincident with the original.

## **Shuji Yoshioka** SMBC Nikko Securities Inc. Representative Director, President & CEO



Date of Birth:		August 16, 1964		
Education:		Graduated from Keio University with a Bachelor of Science and Technology 1988		
Prof	Professional Experience:			
	April	1988	Nikko Securities Co., Ltd.	
	August	2006	Nikko antfactory K.K.	
			*Present name: Ant Capital Partners Co., Ltd.	
	April	2010	Management Planning & Administration	
			Nikko Cordial Securities Inc.	
	July	2010	Co-General Manager, Management Planning & Administration	
	April	2011	Co-General Manager, Management Planning & Administration	
			SMBC Nikko Securities Inc.	
	March	2013	Deputy Head of Equity	
	March	2014	Executive Officer, Senior Deputy Head of Equity	
	September	2018	Executive Officer, Senior Deputy Head of Equity and	
			Senior Deputy Head of Research (Equity Research)	
	March	2019	Executive Officer, Head of Wholesale Business Planning	
	March	2020	Executive Officer, Head of Corporate Business,	
			Head of Wholesale Business Planning	
	March	2021	Managing Executive Officer	
	April	2021	Managing Executive Officer, Global Business Unit, Wholesale Business Unit	
			Sumitomo Mitsui Financial Group, Inc.	
			Managing Executive Officer, Deputy Head of Global Banking Unit,	
			Deputy Head of Wholesale Banking Unit	
			Sumitomo Mitsui Banking Corporation	
	March	2022	Managing Executive Officer, Global Business Unit, Wholesale Business Unit	

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		Sumitomo Mitsui Financial Group, Inc.
		Managing Executive Officer, Deputy Head of Global Banking Unit,
		Deputy Head of Wholesale Banking Unit
		Sumitomo Mitsui Banking Corporation
		Managing Executive Officer, Senior Deputy Head of Global Business Planning
		SMBC Nikko Securities Inc.
April	2022	Managing Executive Officer, Senior Deputy Head of Global Markets Unit
		SMBC Nikko Securities Inc.
September	2022	Managing Executive Officer
		Co-Head of Global Markets Unit and Co-Head of Equity
		Managing Executive Officer, Deputy Head of Global Markets Business Unit
		Sumitomo Mitsui Financial Group, Inc.
April	2023	Senior Managing Executive Officer, Head of Planning Unit,
		Head of Finance and Head of Business Strategy & Development
		Managing Executive Officer, Group Deputy CFO and Group Deputy CSO
		Sumitomo Mitsui Financial Group, Inc.
January	2024	Director and Senior Managing Executive Officer, Head of Planning Unit,
		Head of Finance and Head of Business Strategy & Development
		Managing Executive Officer, Group Deputy CFO and Group Deputy CSO
		Sumitomo Mitsui Financial Group, Inc.
April	2024	Representative Director, President & CEO