

【NEWS RELEASE】

January 31, 2024
SMBC Nikko Securities Inc.

The replacement of the Representative Directors and President & CEO

SMBC Nikko Securities Inc. today announces the replacement of the Representative Directors and President & CEO as follows.

1. Change in Director (Effective as of January 31, 2024)

Name	New	Current
Shuji Yoshioka	Director and Senior Managing Executive Officer	Senior Managing Executive Officer

2. Changes in Representative Directors (Effective as of April 1, 2024)

Name	New	Current
Yasuyuki Kawasaki	Advisor	Representative Director, Chairman
Yuichiro Kondo	Advisor	Representative Director, President & CEO
Shuji Yoshioka	Representative Director, President & CEO	Director and Senior Managing Executive Officer

* Director (Outside) Tokio Morita will be appointed Chair of the board as of April 1, 2024.

Shuji Yoshioka

SMBC Nikko Securities Inc.

Representative Director, President & CEO

**Date of Birth:** August 16, 1964**Education:** Graduated from Keio University with a Bachelor of Science and Technology 1988**Professional Experience:**

April	1988	Nikko Securities Co., Ltd.
August	2006	Nikko antfactory K.K. *Present name: Ant Capital Partners Co., Ltd.
April	2010	Management Planning & Administration Nikko Cordial Securities Inc.
July	2010	Co-General Manager, Management Planning & Administration
April	2011	Co-General Manager, Management Planning & Administration SMBC Nikko Securities Inc.
March	2013	Deputy Head of Equity
March	2014	Executive Officer, Senior Deputy Head of Equity
September	2018	Executive Officer, Senior Deputy Head of Equity and Senior Deputy Head of Research (Equity Research)
March	2019	Executive Officer, Head of Wholesale Business Planning
March	2020	Executive Officer, Head of Corporate Business, Head of Wholesale Business Planning
March	2021	Managing Executive Officer
April	2021	Managing Executive Officer, Global Business Unit, Wholesale Business Unit Sumitomo Mitsui Financial Group, Inc. Managing Executive Officer, Deputy Head of Global Banking Unit, Deputy Head of Wholesale Banking Unit Sumitomo Mitsui Banking Corporation
March	2022	Managing Executive Officer, Global Business Unit, Wholesale Business Unit

		Sumitomo Mitsui Financial Group, Inc. Managing Executive Officer, Deputy Head of Global Banking Unit, Deputy Head of Wholesale Banking Unit Sumitomo Mitsui Banking Corporation Managing Executive Officer, Senior Deputy Head of Global Business Planning SMBC Nikko Securities Inc.
April	2022	Managing Executive Officer, Senior Deputy Head of Global Markets Unit SMBC Nikko Securities Inc.
September	2022	Managing Executive Officer Co-Head of Global Markets Unit and Co-Head of Equity Managing Executive Officer, Deputy Head of Global Markets Business Unit Sumitomo Mitsui Financial Group, Inc.
April	2023	Senior Managing Executive Officer, Head of Planning Unit, Head of Finance and Head of Business Strategy & Development Managing Executive Officer, Group Deputy CFO and Group Deputy CSO Sumitomo Mitsui Financial Group, Inc.
January	2024	Director and Senior Managing Executive Officer, Head of Planning Unit, Head of Finance and Head of Business Strategy & Development Managing Executive Officer, Group Deputy CFO and Group Deputy CSO Sumitomo Mitsui Financial Group, Inc.
April	2024	Representative Director, President & CEO