

**【NEWS RELEASE】**

September 12, 2024  
SMBC Nikko Securities Inc.

**Personnel Changes**

SMBC Nikko Securities Inc. announces personnel changes as follows.

**1. Changes in Executive Officer and Personnel Changes (Effective as of October 1, 2024)**

Name	New	Current
<b>Hiroataka Yuasa</b>	Executive Officer, Head of Compliance and General Manager, Business Compliance	Executive Officer, Head of Compliance
<b>Yukio Ishii</b>	Senior General Manager, Senior Deputy Head of Risk Management	Senior General Manager, Senior Deputy Head of Global Business Planning and Deputy Head of Special Missions (North America)
<b>Kei Niinuma</b>	General Manager, Legal	Legal
<b>Takashi Sakai</b>	Deputy Head of Risk Management	Senior Deputy Head of Compliance and General Manager, Business Compliance
<b>Norikazu Kasatani</b>	General Manager, Okinawa Contact Center	Deputy General Manager, Tokyo Contact Center and Section Manager, Contact Center IV/Tokyo Contact Center
<b>Kenji Takeuchi</b>	General Manager, Investment Management Business	Section Manager, Planning Section/Investment Management Business
<b>Ren Motokawa</b>	Senior Deputy Head of Investment Banking & Solution	Senior Deputy Head of Investment Banking & Solution and General Manager, Investment Banking Strategies
<b>Hiroshi Kimura</b>	General Manager, Investment Banking Strategies	Investment Banking Strategies